

# Hybrid High-Order (HHO) methods on general meshes

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- Mimetic Finite Differences
  - Extension to polyhedral meshes [Kuznetsov et al., 2004]
  - Convergence analysis [Brezzi et al., 2005]
- Mixed/Hybrid Finite Volumes
  - Pure diffusion (mixed) [Droniou and Eymard, 2006]
  - Pure diffusion (primal) [Eymard et al., 2010]
  - Link with MFD [Droniou et al., 2010]
- More recently
  - Compatible Discrete Operators [Bonelle and Ern, 2014]
  - Generalized Crouzeix–Raviart [DP and Lemaire, 2015]

- Discontinuous Galerkin
  - General meshes [DP and Ern, 2012]
  - Adaptive coarsening [Bassi et al., 2012, Antonietti et al., 2013]
- Hybridizable Discontinuous Galerkin
  - Pure diffusion [Cockburn et al., 2009]
- Virtual elements
  - Pure diffusion [Beirão da Veiga et al., 2013a]
  - Nonconforming VEM [Ayuso de Dios et al., 2014]
  - Linear elasticity [Beirão da Veiga et al., 2013b]
- Hybrid High-Order
  - Pure diffusion [DP and Ern, 2014b]
  - Linear elasticity [DP and Ern, 2015]
  - Bridge between HHO and HDG [Cockburn, DP and Ern, 2015]

# Features of HHO

- Capability of handling **general polyhedral meshes**
- Construction valid for **arbitrary space dimensions**
- Arbitrary **approximation order** (including  $k = 0$ )
- Reproduction of **desirable continuum properties**
  - Integration by parts formulas
  - Kernels of operators
  - Symmetries
- Reduced **computational cost** after hybridization

$$N_{\text{dof}}^{\text{hho}} \approx \frac{1}{2} k^2 \text{card}(\mathcal{F}_h) \quad N_{\text{dof}}^{\text{dg}} \approx \frac{1}{6} k^3 \text{card}(\mathcal{T}_h)$$

- 1 Poisson
- 2 Variable diffusion and local conservation
- 3 Linear elasticity

**1** Poisson

**2** Variable diffusion and local conservation

**3** Linear elasticity

## Definition (Mesh regularity)

We consider a sequence  $(\mathcal{T}_h)_{h \in \mathcal{H}}$  of polyhedral meshes s.t., for all  $h \in \mathcal{H}$ ,  $\mathcal{T}_h$  admits a simplicial submesh  $\mathfrak{T}_h$  and  $(\mathfrak{T}_h)_{h \in \mathcal{H}}$  is

- **shape-regular** in the sense of Ciarlet;
- **contact-regular**: every simplex  $S \subset T$  is s.t.  $h_S \approx h_T$ .

Main consequences:

- Trace and inverse inequalities
- Optimal approximation for broken polynomial spaces

# Mesh regularity II

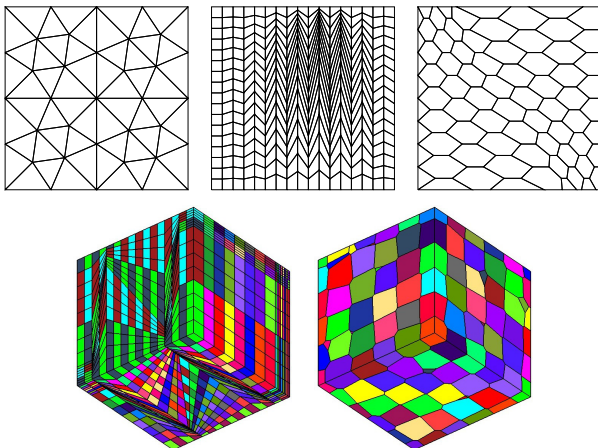


Figure: Admissible meshes in 2d and 3d: [Herbin and Hubert, 2008, FVCA5] and [Di Pietro and Lemaire, 2015] (above) and [Eymard et al., 2011, FVCA6] (below)



- Let  $\Omega$  denote a bounded, connected polyhedral domain
- For  $f \in L^2(\Omega)$ , we consider the **Poisson problem**

$$\begin{aligned} -\Delta u &= f && \text{in } \Omega \\ u &= 0 && \text{on } \partial\Omega \end{aligned}$$

- In weak form: Find  $u \in H_0^1(\Omega)$  s.t.

$$a(u, v) := (\nabla u, \nabla v) = (f, v) \quad \forall v \in H_0^1(\Omega)$$

- **DOFs**: polynomials of degree  $k \geq 0$  at elements and faces
- **Differential operators reconstructions** tailored to the problem:

$$a|_T(u, v) \approx (\nabla p_T^{k+1} \underline{u}_T, \nabla p_T^{k+1} \underline{v}_T) + \text{stab.}$$

with

- high-order reconstruction  $p_T^{k+1}$  from **local Neumann solves**
- stabilization via **face-based penalty**
- Construction yielding **superconvergence** on general meshes

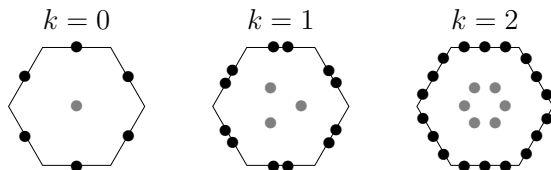


Figure:  $\underline{U}_T^k$  for  $k \in \{0, 1, 2\}$

- For  $k \geq 0$  and all  $T \in \mathcal{T}_h$ , we define the **local space of DOFs**

$$\underline{U}_T^k := \mathbb{P}_d^k(T) \times \left\{ \prod_{F \in \mathcal{F}_T} \mathbb{P}_{d-1}^k(F) \right\}$$

- The **global space** has single-valued interface DOFs

$$\underline{U}_h^k := \left\{ \prod_{T \in \mathcal{T}_h} \mathbb{P}_d^k(T) \right\} \times \left\{ \prod_{F \in \mathcal{F}_h} \mathbb{P}_{d-1}^k(F) \right\}$$

# Local potential reconstruction I

- Let  $T \in \mathcal{T}_h$ . The local **potential reconstruction** operator

$$p_T^{k+1} : \underline{U}_T^k \rightarrow \mathbb{P}_d^{k+1}(T)$$

is s.t.  $\forall \underline{v}_T \in \underline{U}_T^k$ ,  $(p_T^{k+1} \underline{v}_T, 1)_T = (v_T, 1)_T$  and  $\forall w \in \mathbb{P}_d^{k+1}(T)$ ,

$$(\nabla p_T^{k+1} \underline{v}_T, \nabla w)_T := -(v_T, \Delta w)_T + \sum_{F \in \mathcal{F}_T} (v_F, \nabla w \cdot \mathbf{n}_{TF})_F$$

- To compute  $p_T^{k+1}$ , we solve a small SPD linear system of size

$$N_{k,d} := \binom{k+1+d}{k+1}$$

- Perfectly suited to GPU computing!**

Lemma (Approximation properties for  $p_T^{k+1} \underline{I}_T^k$ )

Define the *local reduction map*  $\underline{I}_T^k : H^1(T) \rightarrow \underline{U}_T^k$  s.t.

$$\underline{I}_T^k : v \mapsto (\pi_T^k v, (\pi_F^k v)_{F \in \mathcal{F}_T}).$$

Then, for all  $T \in \mathcal{T}_h$  and all  $v \in H^{k+2}(T)$ ,

$$\|v - p_T^{k+1} \underline{I}_T^k v\|_T + h_T \|\nabla(v - p_T^{k+1} \underline{I}_T^k v)\|_T \lesssim h_T^{k+2} \|v\|_{k+2,T}.$$

# Local potential reconstruction III

- Since  $\Delta w \in \mathbb{P}_d^{k-1}(T)$  and  $\nabla w|_F \cdot \mathbf{n}_{TF} \in \mathbb{P}_{d-1}^k(F)$ ,

$$\begin{aligned}(\nabla p_T^{k+1} \underline{I}_T^k v, \nabla w)_T &= -(\pi_T^k v, \Delta w)_T + \sum_{F \in \mathcal{F}_T} (\pi_F^k v, \nabla w \cdot \mathbf{n}_{TF})_F \\ &= -(v, \Delta w)_T + \sum_{F \in \mathcal{F}_T} (v, \nabla w \cdot \mathbf{n}_{TF})_F = (\nabla v, \nabla w)_T\end{aligned}$$

- This shows that  $p_T^{k+1} \underline{I}_T^k$  is the **elliptic projector on  $\mathbb{P}_d^{k+1}(T)$** :

$$(\nabla p_T^{k+1} \underline{I}_T^k v - \nabla v, \nabla w)_T = 0 \quad \forall w \in \mathbb{P}_d^{k+1}(T)$$

- The approximation properties follow

- The following naive choice is **not stable**

$$a|_T(u, v) \approx (\nabla p_T^{k+1} \underline{u}_T, \nabla p_T^{k+1} \underline{v}_T)_T$$

- To remedy, we add a **local stabilization term**

$$(\nabla p_T^{k+1} \underline{u}_T, \nabla p_T^{k+1} \underline{v}_T)_T + s_T(\underline{u}_T, \underline{v}_T)$$

- Coercivity and boundedness are expressed w.r.t. to

$$\|\underline{v}_T\|_{1,T}^2 := \|\nabla v_T\|_T^2 + \sum_{F \in \mathcal{F}_T} \frac{1}{h_F} \|v_F - v_T\|_F^2$$

- Define, for  $T \in \mathcal{T}_h$ , the **stabilization bilinear form**  $s_T$  as

$$s_T(\underline{u}_T, \underline{v}_T) := \sum_{F \in \mathcal{F}_T} h_F^{-1} (\pi_F^k(\hat{p}_T^{k+1} \underline{u}_T - u_F), \pi_F^k(\hat{p}_T^{k+1} \underline{v}_T - v_F))_F,$$

with  $\hat{p}_T^{k+1}$  **high-order correction of cell DOFs** based on  $p_T^{k+1}$

$$\hat{p}_T^{k+1} \underline{v}_T := v_T + (p_T^{k+1} \underline{v}_T - \pi_T^k p_T^{k+1} \underline{v}_T)$$

- With this choice,  $a_T$  satisfies, for all  $\underline{v}_T \in \underline{U}_T^k$ ,

$$\|\underline{v}_h\|_{1,T}^2 \lesssim a_T(\underline{v}_T, \underline{v}_T) \lesssim \|\underline{v}_T\|_{1,T}^2$$



## Lemma (High-order consistency of $s_T$ )

$s_T$  preserves the approximation properties of  $\nabla p_T^{k+1}$ .

- For all  $u \in H^{k+2}(T)$ , letting  $\hat{u}_T := \underline{I}_T^k u = (\pi_T^k u, (\pi_F^k u)_{F \in \mathcal{F}_T})$ ,

$$\begin{aligned} \|\pi_F^k(\hat{p}_T^{k+1} \hat{u}_T - \hat{u}_F)\|_F &= \|\pi_F^k(\pi_T^k u + p_T^{k+1} \hat{u}_T - \pi_T^k p_T^{k+1} \hat{u}_T - \pi_F^k u)\|_F \\ &\leq \|\pi_F^k(p_T^{k+1} \hat{u}_T - u)\|_F + \|\pi_T^k(u - p_T^{k+1} \hat{u}_T)\|_F \\ &\lesssim h_T^{-1/2} \|p_T^{k+1} \hat{u}_T - u\|_T \end{aligned}$$

- Recalling the approximation properties of  $p_T^{k+1}$ , this yields

$$\left\{ \|\nabla(p_T^{k+1} \hat{u}_T - u)\|_T^2 + s_T(\hat{u}_T, \hat{u}_T) \right\}^{1/2} \lesssim h_T^{k+1} \|u\|_{k+2,T}$$

- We enforce boundary conditions strongly considering the space

$$\underline{U}_{h,0}^k := \left\{ \underline{v}_h \in \underline{U}_h^k \mid v_F \equiv 0 \quad \forall F \in \mathcal{F}_h^b \right\}$$

- The discrete problem reads: Find  $\underline{u}_h \in \underline{U}_{h,0}^k$  s.t.

$$a_h(\underline{u}_h, \underline{v}_h) := \sum_{T \in \mathcal{T}_h} a_T(\underline{u}_T, \underline{v}_T) = \sum_{T \in \mathcal{T}_h} (f, v_T)_T \quad \forall \underline{v}_h \in \underline{U}_{h,0}^k$$

- **Well-posedness** follows from the coercivity of  $a_h$

## Theorem (Energy-norm error estimate)

Assume  $u \in H^{k+2}(\mathcal{T}_h)$  and let

$$\hat{u}_h := ((\pi_T^k u)_{T \in \mathcal{T}_h}, (\pi_F^k u)_{F \in \mathcal{F}_h}) \in \underline{U}_{h,0}^k.$$

Then, we have the following energy error estimate:

$$\max(\|\underline{u}_h - \hat{u}_h\|_{1,h}, \|\underline{u}_h - \hat{u}_h\|_{a,h}) \lesssim h^{k+1} \|u\|_{H^{k+2}(\Omega)},$$

with

$$\|\underline{v}_h\|_{1,h}^2 := \sum_{T \in \mathcal{T}_h} \|v_T\|_{1,T}^2.$$

## Theorem ( $L^2$ -norm error estimate)

Further assuming *elliptic regularity* and  $f \in H^1(\Omega)$  if  $k = 0$ ,

$$\max(\|\check{u}_h - u\|, \|\hat{u}_h - u_h\|) \lesssim h^{k+2} \mathcal{N}_k,$$

with  $\mathcal{N}_0 := \|f\|_{H^1(\Omega)}$ ,  $\mathcal{N}_k := \|u\|_{H^{k+2}(\mathcal{T}_h)}$  if  $k \geq 1$ , and,  $\forall T \in \mathcal{T}_h$ ,

$$\check{u}_h|_T := p_T^{k+1} \underline{u}_T, \quad \hat{u}_h|_T := p_T^{k+1} \underline{I}_T^k u, \quad u_h|_T := u_T.$$

# Convergence for a smooth 2d solution I

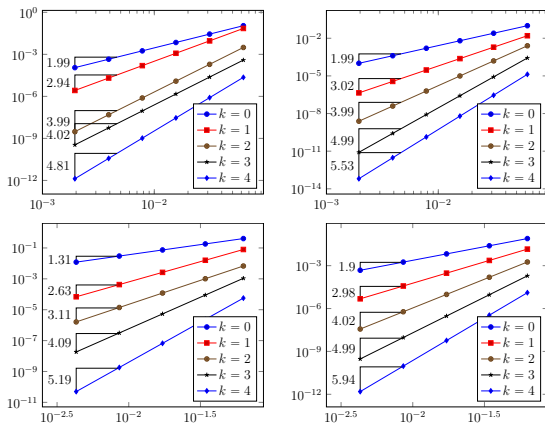


Figure: Energy (left) and  $L^2$ -norm (right) of the error vs.  $h$  for uniformly refined **triangular** (top) and **hexagonal** (bottom) mesh families,  $u(\mathbf{x}) = \sin(\pi x_1) \sin(\pi x_2)$

# Convergence for a smooth 2d solution II

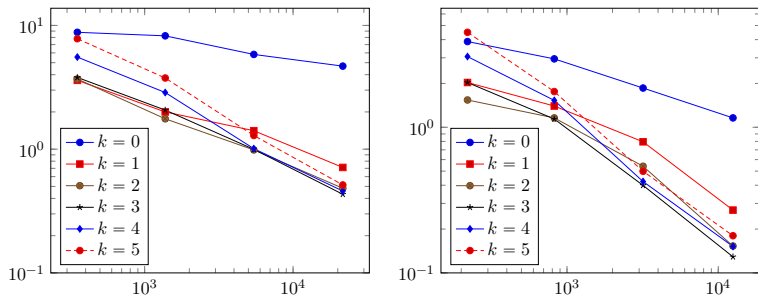


Figure: Assembly/solution time for triangular (left) and hexagonal (right) mesh families, sequential implementation

# Mesh adaptivity: Fichera's 3d test case I

- Let  $\Omega := (-1, 1)^3 \setminus [0, 1]^3$
- We consider the following exact solution:

$$u(\mathbf{x}) = (x_1^2 + x_2^2 + x_3^2)^{\frac{1}{4}}$$

corresponding to the forcing term

$$f(\mathbf{x}) = -\frac{3}{4}(x_1^2 + x_2^2 + x_3^2)^{-\frac{3}{4}}$$

- We consider an adaptive procedure driven by **guaranteed residual-based a posteriori estimators** [DP & Specogna, 2015]

# Mesh adaptivity: Fichera's 3d test case II

Figure: HHO solution on a sequence of adaptively refined meshes



# Mesh adaptivity: Fichera's 3d test case III

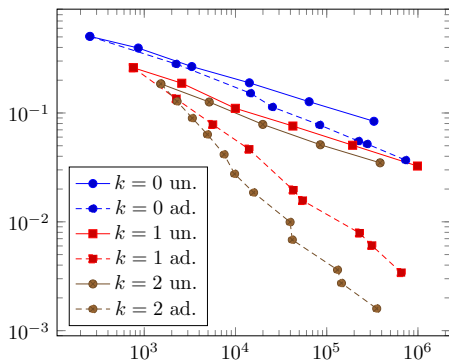


Figure: Energy error vs.  $\dim(U_h^k)$

# Mesh adaptivity: Fichera's 3d test case IV

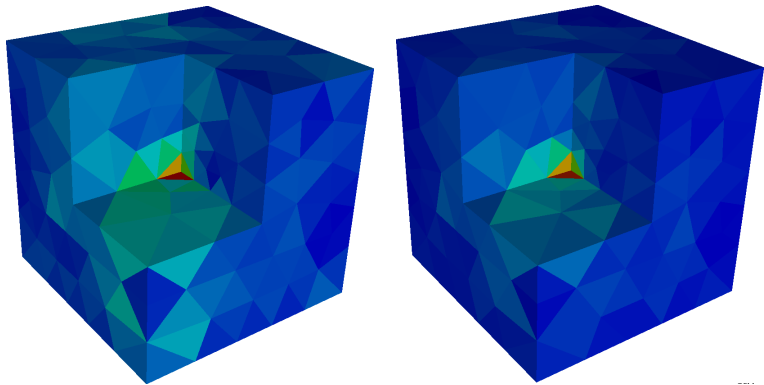


Figure: Estimated (left) and true (right) error distribution

1 Poisson

2 Variable diffusion and local conservation

3 Linear elasticity

- Let  $\boldsymbol{\nu} : \Omega \rightarrow \mathbb{R}^{d \times d}$  be a SPD tensor-valued field s.t.

$$\forall T \in \mathcal{T}_h, \quad 0 < \underline{\nu}_T \leq \lambda(\boldsymbol{\nu}) \leq \bar{\nu}_T$$

- Consider the **variable diffusion** problem

$$\begin{aligned} -\nabla \cdot (\boldsymbol{\nu} \nabla u) &= f && \text{in } \Omega \\ u &= 0 && \text{on } \partial\Omega \end{aligned}$$

- We confer built-in **homogenization features** to  $p_T^{k+1}$

$$(\boldsymbol{\nu} \nabla p_T^{k+1} \underline{\nu}_T, \nabla w)_T = (\boldsymbol{\nu} \nabla v_T, \nabla w)_T + \sum_{F \in \mathcal{F}_T} (v_F - v_T, \boldsymbol{\nu} \nabla w \cdot \mathbf{n}_{TF})_F$$

Lemma (Approximation properties of  $p_T^{k+1} \underline{I}_T^k$ )

There is  $C$  independent of  $h_T$  and  $\nu$  s.t., for all  $v \in H^{k+2}(T)$ , it holds with  $\alpha = \frac{1}{2}$  if  $\nu$  is piecewise constant and  $\alpha = 1$  otherwise:

$$\|v - p_T^{k+1} \underline{I}_T^k v\|_T + h_T \|\nabla(v - p_T^{k+1} \underline{I}_T^k v)\|_T \leq C \rho_T^\alpha h_T^{k+2} \|v\|_{k+2,T},$$

with *local heterogeneity/anisotropy ratio*

$$\rho_T := \frac{\bar{\nu}_T}{\underline{\nu}_T} \geq 1.$$

## Theorem (Energy-error estimate)

Assume that  $u \in H^{k+2}(\mathcal{T}_h)$  and modify the bilinear form as

$$a_{\nu,T}(\underline{u}_T, \underline{v}_T) := (\nu \nabla p_T^{k+1} \underline{u}_T, \nabla p_T^{k+1} \underline{v}_T)_T + s_{\nu,T}(\underline{u}_T, \underline{v}_T)$$

where, setting  $\nu_{TF} := \|\mathbf{n}_{TF} \cdot \nu|_T \cdot \mathbf{n}_{TF}\|_{L^\infty(F)}$ ,

$$s_{\nu,T}(\underline{u}_T, \underline{v}_T) := \sum_{F \in \mathcal{F}_T} \frac{\nu_{TF}}{h_F} (\pi_F^k(\hat{p}_T^{k+1} \underline{u}_T - u_F), \pi_F^k(\hat{p}_T^{k+1} \underline{v}_T - v_F))_F.$$

Then, with  $\hat{u}_h$  and  $\alpha$  as above,

$$\|\hat{u}_h - \underline{u}_h\|_{\nu,h} \lesssim \left\{ \sum_{T \in \mathcal{T}_h} \bar{\nu}_T \rho_T^{1+2\alpha} h_T^{2(k+1)} \|u\|_{k+2,T}^2 \right\}^{1/2}.$$

- A highly prized property in practice is **local conservation**
- At the discrete level, we wish to mimick the local balance

$$(\boldsymbol{\nu} \nabla u, \nabla v)_T - \sum_{F \in \mathcal{F}_T} (\boldsymbol{\nu}|_T \nabla u \cdot \mathbf{n}_{TF}, v)_F = (f, v)_T \quad \forall v \in H^1(T)$$

where, for all interface  $F \in \mathcal{F}_{T_1} \cap \mathcal{F}_{T_2}$ ,

$$\boldsymbol{\nu}|_{T_1} \nabla u \cdot \mathbf{n}_{T_1 F} + \boldsymbol{\nu}|_{T_2} \nabla u \cdot \mathbf{n}_{T_2 F} = 0$$

- This requires to identify **numerical fluxes**

## Local conservation and numerical fluxes II

- Define the **face residual operator**  $R_T^k : \mathbb{P}_d^k(\mathcal{F}_T) \rightarrow \mathbb{P}_d^k(\mathcal{F}_T)$  s.t.

$$R_T^k \varphi|_F = \pi_F^k (\varphi|_F - p_T^{k+1}(0, \varphi) + \pi_T^k p_T^{k+1}(0, \varphi))$$

- Denote by  $R_T^{*,k}$  its **adjoint** and let  $\tau_{\partial T}$  and  $u_{\partial T}$  be s.t.

$$\tau_{\partial T}|_F = \frac{\nu_{TF}}{h_F} \quad \text{and} \quad u_{\partial T}|_F = u_F \quad \forall F \in \mathcal{F}_T$$

- The penalty term can be rewritten in **conservative form** as

$$s_T(\underline{u}_T, \underline{v}_T) = \sum_{F \in \mathcal{F}_T} (R_T^{*,k}(\tau_{\partial T} R_T^k(u_{\partial T} - u_T)), v_F - v_T)_F$$



## Lemma (Flux formulation)

The HHO solution  $\underline{u}_h \in \underline{U}_{h,0}^k$  satisfies, for all  $T \in \mathcal{T}_h$  and all  $v_T \in \mathbb{P}_d^k(T)$

$$(\boldsymbol{\nu} \nabla p_T^{k+1} \underline{u}_T, \nabla v_T)_T - \sum_{F \in \mathcal{F}_T} (\Phi_{TF}(\underline{u}_T), v_T)_F = (f, v_T)_T,$$

with numerical flux

$$\Phi_{TF}(\underline{u}_T) := \boldsymbol{\nu}|_T \nabla p_T^{k+1} \underline{u}_T \cdot \mathbf{n}_{TF} - R_T^{*,k}(\tau_{\partial T} R_T^k(u_{\partial T} - u_T)),$$

s.t., for all interface  $F \in \mathcal{F}_{T_1} \cap \mathcal{F}_{T_2}$ ,

$$\Phi_{T_1 F}(\underline{u}_{T_1}) + \Phi_{T_2 F}(\underline{u}_{T_2}) = 0.$$

- The flux formulation shows that **HHO = HDG on steroids**
- Smaller local problems to eliminate flux unknowns:

$$\nabla \mathbb{P}_d^{k+1}(T) \quad \text{vs.} \quad \mathbb{P}_d^k(T)^d$$

- Superconvergence of the potential in the  $L^2$ -norm

$$h^{k+2} \quad \text{vs.} \quad h^{k+1}$$

- **HHO can be adapted into existing HDG codes!**

- 1 Poisson
- 2 Variable diffusion and local conservation
- 3 Linear elasticity**

- Consider the linear elasticity problem: Find  $\mathbf{u} : \Omega \rightarrow \mathbb{R}^d$  s.t.

$$\begin{aligned} -\nabla \cdot \boldsymbol{\sigma}(\mathbf{u}) &= \mathbf{f} && \text{in } \Omega, \\ \mathbf{u} &= \mathbf{0} && \text{on } \partial\Omega \end{aligned}$$

with real **Lamé parameters**  $\lambda \geq 0$  and  $\mu > 0$  and

$$\boldsymbol{\sigma}(\mathbf{u}) = 2\mu \nabla_s \mathbf{u} + \lambda(\nabla \cdot \mathbf{u}) \mathbf{I}_d$$

- When  $\lambda \rightarrow +\infty$  we need to approximate **nontrivial incompressible displacement fields**

- Applied to vector fields, the operator  $\nabla_s$  yields **strains**
- Its kernel  $\text{RM}(\Omega)$  contains **rigid-body motions**

$$\text{RM}(\Omega) := \{ \mathbf{v} \in H^1(\Omega)^3 \mid \exists \boldsymbol{\alpha}, \boldsymbol{\omega} \in \mathbb{R}^3, \mathbf{v}(\mathbf{x}) = \boldsymbol{\alpha} + \boldsymbol{\omega} \otimes \mathbf{x} \}$$

- We note for further use that

$$\mathbb{P}_d^0(\Omega)^d \subset \text{RM}(\Omega) \subset \mathbb{P}_d^1(\Omega)^d$$

# DOFs and reduction map I

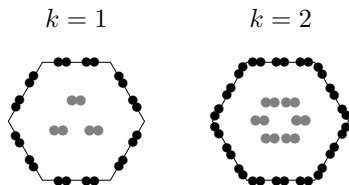


Figure:  $\underline{U}_T^k$  for  $k \in \{1, 2\}$

- For  $k \geq 1$  and all  $T \in \mathcal{T}_h$ , we define the **local space of DOFs**

$$\underline{U}_T^k := \mathbb{P}_d^k(T)^d \times \left\{ \times_{F \in \mathcal{F}_T} \mathbb{P}_{d-1}^k(F)^d \right\}$$

- The **global space** has single-valued interface DOFs

$$\underline{U}_h^k := \left\{ \times_{T \in \mathcal{T}_h} \mathbb{P}_d^k(T)^d \right\} \times \left\{ \times_{F \in \mathcal{F}_h} \mathbb{P}_{d-1}^k(F)^d \right\}$$

- Let  $T \in \mathcal{T}_h$ . The local **displacement reconstruction** operator

$$\mathbf{p}_T^{k+1} : \underline{\mathbf{U}}_T^k \rightarrow \mathbb{P}_d^{k+1}(T)^d$$

is s.t., for all  $\underline{\mathbf{v}}_T = (\mathbf{v}_T, (\mathbf{v}_F)_{F \in \mathcal{F}_T}) \in \underline{\mathbf{U}}_T^k$  and  $\mathbf{w} \in \mathbb{P}_d^{k+1}(T)^d$ ,

$$(\nabla_s \mathbf{p}_T^{k+1} \underline{\mathbf{v}}_T, \nabla_s \mathbf{w})_T = -(\mathbf{v}_T, \nabla \cdot \nabla_s \mathbf{w})_T + \sum_{F \in \mathcal{F}_T} (\mathbf{v}_F, \nabla_s \mathbf{w} \mathbf{n}_{TF})_F$$

- Rigid-body motions** are prescribed from  $\underline{\mathbf{v}}_T$  setting

$$\int_T \mathbf{p}_T^{k+1} \underline{\mathbf{v}}_T = \int_T \mathbf{v}_T, \quad \int_T \nabla_{ss} \mathbf{p}_T^{k+1} \underline{\mathbf{v}}_T = \sum_{F \in \mathcal{F}_T} \int_F \frac{1}{2} (\mathbf{n}_{TF} \otimes \mathbf{v}_F - \mathbf{v}_F \otimes \mathbf{n}_{TF})$$

Lemma (Approximation properties for  $\mathbf{p}_T^{k+1} \underline{\mathbf{I}}_T^k$ )

There exists  $C > 0$  independent of  $h_T$  s.t., for all  $\mathbf{v} \in H^{k+2}(T)^d$ ,

$$\|\mathbf{v} - \mathbf{p}_T^{k+1} \underline{\mathbf{I}}_T^k \mathbf{v}\|_T + h_T \|\nabla(\mathbf{v} - \mathbf{p}_T^{k+1} \underline{\mathbf{I}}_T^k \mathbf{v})\|_T \leq Ch_T^{k+2} \|\mathbf{v}\|_{H^{k+2}(T)^d}.$$

Proceeding as for Poisson, one can show that

$$(\nabla_s \mathbf{p}_T^{k+1} \underline{\mathbf{I}}_T^k \mathbf{v} - \nabla_s \mathbf{v}, \nabla_s \mathbf{w})_T = 0 \quad \forall \mathbf{w} \in \mathbb{P}_d^{k+1}(T)^d,$$

and the approximation properties follow.



- Define, for  $T \in \mathcal{T}_h$ , the **stabilization bilinear form**  $s_T$  as

$$s_T(\underline{\mathbf{u}}_T, \underline{\mathbf{v}}_T) := \sum_{F \in \mathcal{F}_T} h_F^{-1} (\pi_F^k(\widehat{\mathbf{p}}_T^{k+1} \underline{\mathbf{u}}_T - \mathbf{u}_F), \pi_F^k(\widehat{\mathbf{p}}_T^{k+1} \underline{\mathbf{v}}_T - \mathbf{v}_F))_F,$$

with displacement reconstruction  $\widehat{\mathbf{p}}_T^{k+1} : \underline{\mathbf{U}}_T^k \rightarrow \mathbb{P}_d^{k+1}(T)^d$  s.t.

$$\forall \underline{\mathbf{v}}_T \in \underline{\mathbf{U}}_T^k, \quad \widehat{\mathbf{p}}_T^{k+1} \underline{\mathbf{v}}_T := \mathbf{v}_T + (\mathbf{p}_T^{k+1} \underline{\mathbf{v}}_T - \pi_T^k \mathbf{p}_T^{k+1} \underline{\mathbf{v}}_T)$$

- Stability can be proved in terms of the **discrete strain norm**

$$\|\underline{\mathbf{v}}_T\|_{\varepsilon, T}^2 := \|\nabla_s \mathbf{v}_T\|_T^2 + \sum_{F \in \mathcal{F}_T} h_F^{-1} \|\mathbf{v}_F\|_F^2$$

## Lemma (Stability and approximation)

Let  $T \in \mathcal{T}_h$  and assume  $k \geq 1$ . Then,

$$\|\underline{\mathbf{v}}_T\|_{\varepsilon, T}^2 \lesssim \|\nabla_s \mathbf{p}_T^{k+1} \underline{\mathbf{v}}_T\|_T^2 + s_T(\underline{\mathbf{v}}_T, \underline{\mathbf{v}}_T) \lesssim \|\underline{\mathbf{v}}_T\|_{\varepsilon, T}^2.$$

Moreover, for all  $\mathbf{v} \in H^{k+2}(T)^d$ , we have

$$\left\{ \|\nabla_s(\underline{\mathbf{I}}_T^k \mathbf{v} - \underline{\mathbf{v}})\|_T^2 + s_T(\underline{\mathbf{I}}_T^k \mathbf{v}, \underline{\mathbf{I}}_T^k \mathbf{v}) \right\}^{1/2} \lesssim h_T^{k+1} \|\mathbf{v}\|_{H^{k+2}(T)^d}.$$

Generalization of a classical result: Crouzeix–Raviart does not meet Korn!

- For all  $F \in \mathcal{F}_T$  one has, inserting  $\pm \pi_F^k \widehat{\mathbf{p}}_T^{k+1} \underline{\mathbf{v}}_T$ ,

$$\|\mathbf{v}_F - \mathbf{v}_T\|_F \lesssim \|\pi_F^k (\mathbf{v}_F - \widehat{\mathbf{p}}_T^{k+1} \underline{\mathbf{v}}_T)\|_F + h_F^{-1/2} \|\mathbf{p}_T^{k+1} \underline{\mathbf{v}}_T - \pi_T^k \mathbf{p}_T^{k+1} \underline{\mathbf{v}}_T\|_T$$

- For any function  $\mathbf{w} \in H^1(T)^d$  with rigid-body motions  $\mathbf{w}_{\text{RM}}$ ,

$$\|\mathbf{w} - \pi_T^k \mathbf{w}\|_T = \|(\mathbf{w} - \mathbf{w}_{\text{RM}}) - \pi_T^k (\mathbf{w} - \mathbf{w}_{\text{RM}})\|_T \lesssim h_T \|\nabla_s \mathbf{w}\|_T$$

where  $\pi_T^k \mathbf{w}_{\text{RM}} = \mathbf{w}_{\text{RM}}$  requires  $k \geq 1$  to have

$$\text{RM}(T) \subset \mathbb{P}_d^k(T)^d$$

- Clearly, this reasoning breaks down for  $k = 0$

# Divergence reconstruction

- We define the **local local discrete divergence operator**

$$D_T^k : \underline{U}_T^k \rightarrow \mathbb{P}_d^k(T)$$

s.t., for all  $\underline{\mathbf{v}}_T = (\mathbf{v}_T, (\mathbf{v}_F)_{F \in \mathcal{F}_T}) \in \underline{U}_T^k$  and all  $q \in \mathbb{P}_d^k(T)$ ,

$$(D_T^k \underline{\mathbf{v}}_T, q)_T := -(\mathbf{v}_T, \nabla q)_T + \sum_{F \in \mathcal{F}_T} (\mathbf{v}_F \cdot \mathbf{n}_{TF}, q)_F$$

- By construction, we have the following commuting diagram:

$$\begin{array}{ccc} \mathbf{H}^1(T) & \xrightarrow{\nabla \cdot} & L^2(T) \\ \mathbf{I}_T^k \downarrow & & \downarrow \pi_T^k \\ \underline{U}_T^k & \xrightarrow{D_T^k} & \mathbb{P}_d^k(T) \end{array}$$

# Discrete problem

- We define the **local bilinear form**  $a_T$  on  $\underline{U}_T^k \times \underline{U}_T^k$  as

$$a_T(\underline{\mathbf{u}}_T, \underline{\mathbf{v}}_T) := 2\mu(\nabla_{\mathbf{s}} \mathbf{p}_T^{k+1} \underline{\mathbf{u}}_T, \nabla_{\mathbf{s}} \mathbf{p}_T^{k+1} \underline{\mathbf{v}}_T)_T \\ + \lambda(D_T^k \underline{\mathbf{u}}_T, D_T^k \underline{\mathbf{v}}_T) + (2\mu) s_T(\underline{\mathbf{u}}_T, \underline{\mathbf{v}}_T)$$

- The discrete problem reads: Find  $\underline{\mathbf{u}}_h \in \underline{U}_{h,0}^k$  s.t.

$$a_h(\underline{\mathbf{u}}_h, \underline{\mathbf{v}}_h) := \sum_{T \in \mathcal{T}_h} a_T(\underline{\mathbf{u}}_T, \underline{\mathbf{v}}_T) = \sum_{T \in \mathcal{T}_h} (\mathbf{f}, \mathbf{v}_T)_T \quad \forall \underline{\mathbf{v}}_h \in \underline{U}_{h,0}^k$$

with  $\underline{U}_{h,0}^k$  incorporating boundary conditions

## Theorem (Energy-norm error estimate)

Assume  $k \geq 1$  and the additional regularity

$$\mathbf{u} \in H^{k+2}(\mathcal{T}_h)^d \text{ and } \nabla \cdot \mathbf{u} \in H^{k+1}(\mathcal{T}_h).$$

Then, there exists  $C > 0$  independent of  $h$ ,  $\mu$ , and  $\lambda$  s.t.

$$(2\mu)^{1/2} \|\underline{\mathbf{u}}_h - \hat{\underline{\mathbf{u}}}_h\|_{a,h} \leq Ch^{k+1} B(\mathbf{u}, k),$$

with

$$B(\mathbf{u}, k) := (2\mu) \|\mathbf{u}\|_{H^{k+2}(\mathcal{T}_h)^d} + \lambda \|\nabla \cdot \mathbf{u}\|_{H^{k+1}(\mathcal{T}_h)}.$$

- **Locking-free** if  $B(\mathbf{u}, k)$  is bounded uniformly in  $\lambda$
- For  $d = 2$  and  $\Omega$  convex, one has using **Cattabriga's regularity**

$$B(\mathbf{u}, 0) = \|\mathbf{u}\|_{H^2(\Omega)^d} + \lambda \|\nabla \cdot \mathbf{u}\|_{H^1(\Omega)} \leq C_\mu \|\mathbf{f}\|$$

- More generally, for  $k \geq 1$ , we need the **regularity shift**

$$B(\mathbf{u}, k) \leq C_\mu \|\mathbf{f}\|_{H^k(\Omega)^d}$$

- **Key point: commuting property for  $D_T^k$**

## Theorem ( $L^2$ -error estimate for the displacement)

Let  $\mathbf{e}_h \in \mathbb{P}_d^k(\mathcal{T}_h)^d$  be s.t.

$$\mathbf{e}_{h|T} := \mathbf{u}_T - \pi_T^k \mathbf{u} \quad \forall T \in \mathcal{T}_h.$$

Then, assuming elliptic regularity for  $\Omega$  and provided that

$$\mathbf{u} \in H^{k+2}(\mathcal{T}_h)^d \text{ and } \nabla \cdot \mathbf{u} \in H^{k+1}(\mathcal{T}_h),$$

it holds with  $C > 0$  independent of  $\lambda$  and  $h$ ,

$$\|\mathbf{e}_h\| \leq Ch^{k+2} B(\mathbf{u}, k).$$



# Numerical examples I

- We consider the following exact solution:

$$\mathbf{u}(\mathbf{x}) = (\sin(\pi x_1) \sin(\pi x_2) + (2\lambda)^{-1} x_1, \cos(\pi x_1) \cos(\pi x_2) + (2\lambda)^{-1} x_2)$$

- The solution  $u$  has **vanishing divergence** in the limit  $\lambda \rightarrow +\infty$ :

$$\nabla \cdot \mathbf{u}(\mathbf{x}) = \frac{1}{\lambda}$$

# Numerical examples II

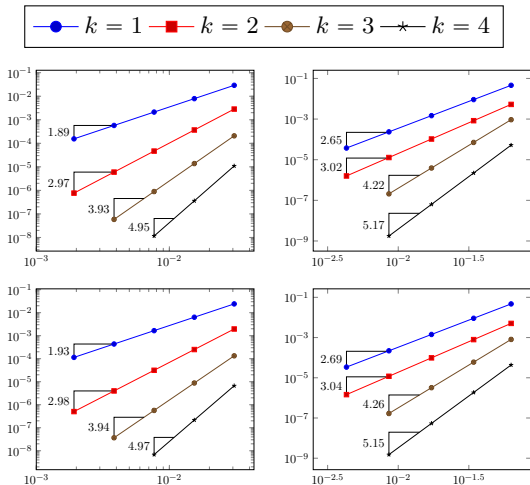


Figure: Energy error with  $\lambda = 1$  (above) and  $\lambda = 1000$  (below) vs.  $h$  for the triangular (left) and hexagonal (right) mesh families

# Numerical examples III

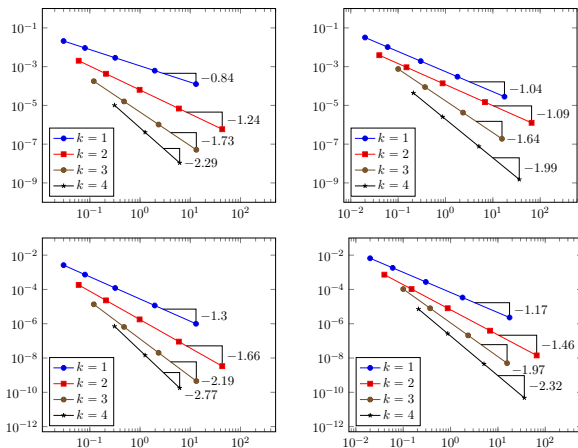


Figure: Energy (above) and displacement (below) error vs.  $\tau_{\text{tot}}$  (s) for the triangular and hexagonal mesh families

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